



PATENT ATTORNEY DOCKET NO. 46970-5114-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Atsushi YAMAGUCHI et al.)
Application No.: 10/730,981) Confirmation No.: 5132
Filed: December 10, 2003) Group Art Unit: 2653
Allowed: February 9, 2006)) Examiner: Thang V. TRAN
For: OPTICAL RECORDING MEDIUM, OPTICAL RECORDING MEDIUM PRODUCING APPARATUS AND OPTICAL RECORDING MEDIUM PRODUCING METHOD)))))

Commissioner for Patents
MAIL STOP ISSUE FEE

Sir:

REQUEST FOR RETURN COPY OF PTO-1449

No initialed copy has been received of the Form PTO-1449, filed on December 10, 2003 or the Form PTO-1449, filed on January 14, 2004 as shown by the attached copies of our PTO postcard receipts.

Please send a copy, preferably by facsimile, and if any citation is lined through, please be sure to explain why per MPEP 609. Thank you.

Respectfully submitted,

DRINKER, BIDDLE & REATH LLP

By: Peter J. Sistare, Reg. No. 48,183

Dated: March 2, 2006

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PLEASE STAMP AND RETURN TO SHOW RECEIPT OF:

New CONTINUATION Patent Application of: Atsushi YAMAGUCHI et al.

Prior Application No.: 09/911,424 Group Art Unit: Unassigned Prior Application Filed: July 25, 2001 Examiner: Unassigned

Continuation Filed: December 10, 2003

For: OPTICAL RECORDING MEDIUM, OPTICAL RECORDING MEDIUM PRODUCING

APPARATUS AND OPTICAL RECORDING MEDIUM PRODUCING METHOD

MAIL STOP PATENT APPLICATION

Continuation Patent Application Transmittal Under 37 C.F.R. §1.53(b)

2. Specification of 36 pages, including <u>5</u> pages of claims and <u>1</u> page of Abstract

Drawings: 14 sheets; 16 total figures

4. Information Disclosure Statement

5. Form PTO-1449, without cited references

6. Preliminary Amendment

7. Copy of Declaration and Power of Attorney from Prior Application

Commissioner is hereby authorized to charge Deposit Account No. 50-0310 in the amount of \$928.00 for application fee of \$770.00 and additional claims fees of \$158.00.

Dated: December 10, 2003

Attorney Docket No.: 041465-5114-01

PAF/lyp

Billed Nania Johnson

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DOCKETED By Date 12-11-05

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ATTORNEY DOCKET NO.: 041465-5114-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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) Group Art Unit: Unassigned
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) Examiner: Unassigned
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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO Form 1449. This Information Disclosure Statement is being filed concurrently with the instant continuation application. Accordingly, no fee is due for filing this paper.

The listed documents were previously cited in the copending parent Application No. 09/911,424, filed on July 25, 2001, upon which Applicants rely for the benefits provided in 35 U.S.C. § 120. Accordingly, pursuant to 37 C.F.R. § 1.98(d), a copy of each reference is not provided.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached PTO Form 1449.

Sir:

ATTORNEY DOCKET NO.: 041465-5114-01

New Continuation Application of Application No.: 09/911,424

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This submission does not represent that a search has been made or that no better art exists

and does not constitute an admission that any of the listed documents is material or constitutes

"Prior Art." If it should be determined that any of the listed documents do not constitute "Prior

Art" under United States law, Applicants reserve the right to present to the Office the relevant

facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability

of the disclosed invention over the listed documents, should one or more of the documents be

applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the

fee to Deposit Account 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Dated: December 10, 2003

By:

Paul A. Fournier

Reg. No. 41,023

CUSTOMER NO. 009629

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1-WA/2097844.1

Attorney Docket No. 041465-5114-01 Continuation of Application INFORMATION DISCLOSURE CITATION No.: 09/911,424 (Use several sheets if necessary) Applicants: Atsushi YAMAGUCHI et al. PAGE 1 of 1 PTO Form 1449 Filing Date: December 10, 2003 Group Art Unit: Unassigned U.S. PATENT DOCUMENTS *Examiner Document Date Name Class Sub Filing Date Initial Number Class 6,078,559 06/20/2000 Takemura et al. 369 275.3 US 2001/0008516 A1 07/19/2001 Kuroda 369 275.3 US 2001/0014074 A1 08/16/2001 Muramatsu et al. 369 111 US 2001/0014077 A1 08/16/2001 Muramatsu et al. 369 275.3 03/2003 6,535,477 Muramatsu et al. 369 275.3 6,532,206 03/2003 Muramatsu et al. 369 275.3 6,477,124 11/2002 Carson 369 53.21 6,438,097 08/2002 Kajiyama et al. 369 275.4 6,418,112 07/2002 Kuroda et al. 369 275.4 6,031,815 02/2000 Heemskerk 369 275.3 5,696,757 12/1997 Ozaki et al. 369 275.4 5,572,507 11/1996 Ozaki et al. 369 275.4 FOREIGN PATENT DOCUMENTS Document Sub **Translation** Number Date Country Class Class YES NO EP 1 054 399 A1 11/22/2000 Europe OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Examiner **Date Considered**

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if

not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner:



PLEASE STAMP AND RETURN TO SHOW RECEIPT OF:

CONTINUATION Patent Application of: Atsushi YAMAGUCHI et al.

Prior Application No.: 09/911,424

Group Art Unit: Unassigned Prior Application Filed: July 25, 2001 Examiner: Unassigned

Continuation Filed: December 10, 2003

OPTICAL RECORDING MEDIUM, OPTICAL RECORDING MEDIUM PRODUCING For: APPARATUS AND OPTICAL RECORDING MEDIUM PRODUCING METHOD

Information Disclosure Statement

Form PTO-1449 with five (5) cited references attached

European Search Report dated December 23, 2003

Dated: January 14, 2004

Attorney Docket No.: 041465-5114-01

PAF/lyp



Alycia Johnson

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INFORMATION DISCLOSE)CIPATION 428		Attorney Docket No. 041465-5114-01		Continuation of Patent Application No.: 09/911,424					
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*Examiner	Document	Date	Name	Class	Sub				
Initial	Number		-		Class	Filing	Date		
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	Document Number	Date	Country	Clas	s Sub Class	Trans	lation NO		
	JP 10-172149 A	Jun. 26, 1998	Japan (w/English- language abstract)				х		
	JP 2000-021024	Jan. 21, 2000	Japan (w/ English- language abstract)				Х		
	JP 11-066708	Mar. 9, 1999	Japan (English-languag abstract only)	ge					
	WO00/07183	Feb. 10, 2000	WIPO (w/ English- language abstract)				х		
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	OTHER DOC	UMENTS (Including	Author, Title, Date, Pertin	ent Pages, I	Etc.)				
	"Pioneer Introduces	"Pioneer Introduces the Industry's First DVD Recorder," XP-002215139. (Press Release, November 25, 1999).							
Examiner	*; •\	Date Considered							
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									